

**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN  
APPLICATION DATA SHEET (37 CFR 1.76)**

<b>Title of Invention</b>	<b>SYSTEMS AND METHODS FOR TRANSACTION AND INFORMATION MANAGEMENT</b>
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As the below named inventor(s), I/we declare that:

The declaration is directed to:

- ☒ The attached application, or  
☐ Application No. \_\_\_\_\_, filed on \_\_\_\_\_,  
☐ as amended on \_\_\_\_\_ (if applicable).

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

**FULL NAME(S) OF INVENTORS:**

Inventor one: Robert E. Sullivan

Signature: Robert E. Sullivan Date: 6/20/03 Citizen of: US

Inventor two: Richard Falcone

Signature: Richard Falcone Date: 6/20/03 Citizen of: US

Inventor three: John J. Viola

Signature: John J. Viola Date: 6/20/03 Citizen of: US

Inventor four: Lee R. Johnson

Signature: Lee R. Johnson Date: 6/20/03 Citizen of: US

☐ Additional inventors are being named on \_\_\_\_\_ additional form(s) attached hereto.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>POWER OF ATTORNEY OR AUTHORIZATION OF AGENT</b>	<b>Application Number</b>	Not Yet Assigned
	<b>Filing Date</b>	
	<b>First Named Inventor</b>	Robert E. Sullivan
	<b>Title</b>	SYSTEMS AND METHODS FOR TRANSACTION AND, etc.
	<b>Art Unit</b>	N/A
	<b>Examiner Name</b>	Not Yet Assigned
	<b>Attorney Docket No.</b>	63134/P013US/10301974

I hereby appoint:

☐

Practitioners at Customer Number

Customer Number

OR

☒

Practitioner(s) named below:

Customer Number Bar Code

Name	Registration Number	Name	Registration Number
David H. Tannenbaum	24,745	Thomas J. Meaney	41,990
Robert M. Chiaviello, Jr.	32,461	Michael A. Papalas	40,381
Jody C. Bishop	44,034	William B. Tiffany	41,347
Christopher S. L. Crawford	51,586	Andrew Vicknair	53,721
Michael J. Fogarty, III	42,541	R. Ross Viguet	42,203
Jerry L. Mahurin	34,661		

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☐

The above-mentioned Customer Number.

OR

☐

Practitioners at Customer Number

Customer Number

OR

Customer Number Bar Code

☒Firm or  
Individual Name

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I am the:

☐

Applicant/Inventor.

☒

Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

**SIGNATURE of Applicant or Assignee of Record**

Name	Sandra Skogen, Evercom, Inc., Vice President – General Counsel & Assistant				
Signature	<i>Sandra Skogen</i>				
Date	6/20/03	Telephone	(972) 953-4140		

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below\*.

☐\*Total of 1 forms are submitted.

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Robert E. Sullivan, et al.

Application No./Patent No.: \_\_\_\_\_ Filed/Issue Date: \_\_\_\_\_

Entitled: SYSTEMS AND METHODS FOR TRANSACTION AND INFORMATION MANAGEMENT

Evercom Systems, Inc., a Corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_ , Frame \_\_\_\_\_ , or for which a copy thereof is attached.

**OR**

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_ , Frame \_\_\_\_\_ , or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_ , Frame \_\_\_\_\_ , or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_ , Frame \_\_\_\_\_ , or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

**[NOTE:** A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

6/20/03  
Date  
(972) 953-4140  
Telephone Number

Sandra Skogen  
Typed or printed name  
Sandra Skogen  
Signature  
Vice President - General Counsel & Assistant Secretary  
Title